

Alan Charles Ellebrecht

Bar Pursuant to a Stipulation and Consent Order

DATE: September 18, 2002 RESPONDENTS: Alan Charles Ellebrecht of Overland Park, Kansas ORDER: Respondent entered into a Consent Order and is barred from association with any broker-dealer or investment adviser registered in the state of Kansas. Respondent was found guilty of three securities registration violations on May 1, 2002 and was ordered by the Court to be barred in accordance with a plea agreement reached by the parties.

Notice to Investors: If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.